

Significant Financial Interest Disclosure

(Applicable to all Sponsored Project Proposals)

Faculty/Staff Name _____
Department/Unit _____
College/Unit _____
Proposal Submitted to _____

“Significant financial interests” are defined as:

- (1) An equity interest that when aggregated for the Investigator and the Investigator’s spouse and dependent children, meets both of the following tests: exceeds \$10,000 in value as determined through reference to public prices or other reasonable measures of fair market value, and represents more than a five percent ownership interest in any single entity; or
- (2) Salary, royalties, or other payments that when aggregated for the Investigator and the Investigator’s spouse and dependent children over the next twelve months, are expected to exceed \$10,000.

I am disclosing the following significant financial interests identified under a-f below in an envelope marked **CONFIDENTIAL**. The information disclosed should include how the research contemplated by this project could affect the research, marketing, and sales activities of products and services of the business enterprises and entities.

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| <p>(a) The names of affected corporations, both for-profit and not-for-profit, for which the person serves as a member of the governing board in the capacity of a director, advisory director, trustee, or otherwise.</p> <p>(b) The names of affected corporations for which the person serves as an executive officer.</p> <p>(c) The name of affected partnerships, limited partnerships, proprietorships, or other business associations of which the person is a partner, joint venturer, or owner.</p> | <p>(d) The amount of any compensation received for services related to (a), (b), and (c) above, including any benefits, direct or indirect (reported by range of amounts), and benefits received for intellectual property rights (e.g., patents, copyrights, and royalties from such rights).</p> <p>(e) Affected business entities in which the person holds a controlling interest or is the principal shareholder.</p> <p>(f) Whether the person is employed by any affected business entities described in (a) through (e) above that have any relationship to The Texas A&M University System or any of its components, and a brief description of such relationship.</p> |
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Further I agree:

- To update this disclosure annually during the pendency of the award.
- To cooperate in the development of a Memorandum of Understanding (MOU) that constitutes a conflict of interest “resolution plan.”
- To comply with conditions or restrictions imposed by the University to manage, reduce, or eliminate actual or potential conflicts of interest or forfeit the award.

Signed: _____ Date: _____

(Original signature only - a “per” signature is not acceptable.)